

Initial Recovery Act Project Plan (Form: FHWA-1586)

This section covers the by-project information to be provided by each State which accounts for how it plans to invest its allotment of Recovery Act funding. The list needs to be consistent with the list of projects provided in the State's Section 1511 certification, as it may be amended. States should provide their best estimates of complete list of projects to be funded with Recovery Act grants as of the plan's due date. If a State has not programmed all Recovery Act funds by that time, that information should be provided as well. The project list should be provided in an electronic format that allows for data sorting. For the purpose of this initial project plan report, the State may provide the Section 1511 list of projects if that list of projects provides all data are listed below. Simply referencing the STIP will likely not be sufficient, since most do not contain all of the required data. These data will be used for meeting the reporting requirements of Sections 1201, and 1512. The data are also used to link together State DOT and FHWA provided Recovery Act project data.

Format: The file can be provided as either a geospatial information system (GIS) shapefile, or an MS Excel spreadsheet.

Due date: By March 31, 2009. The State shall provide an additional list of projects within 2 weeks of the State issuing a new Section 1511 certification until September 2012.

Due to: The States will e-mail their list of projects to: ARRA.Submittal@dot.gov, with a copy sent to the FHWA Division Office official mailbox (state.fhwa@dot.gov). Questions should be directed to ARRA.Questions@dot.gov. Submittal of data to this e-mail account will not qualify as the required submittal under section 1511.

Submittal: Please use the following naming convention "AA_1586_date.xls" where AA=2 character State abbreviation (New York = NY) and date=the date of submittal as "mmdyy." When e-mailing your file, please use the 2 character State abbreviation and form number in the subject.

Coding Instructions

- BOX 1. **State:** Enter the 2 digit State FIPS code. If the form is being filled out for Federal Lands, provide the FLH Division or Federal Land Managing Agency (FLMA) region code. See Appendix A for a list of the State FIPS and FLMA region codes.
- BOX 2. **Report date:** The date the list of projects is submitted, reported as *mm/dd/yyyy* (e.g. "May 1, 2009" would be coded as "05/01/2009").
- BOX 3. **State/County:** A 5 digit code comprised of the 2 digit State FIPS code and 3 digit County FIPS Code. For example, the county of Somerset Maine would be coded as "23025." A complete list of State/County codes can be found in Appendix B. Federal Land Management Agencies will use this field to indicate the State and county where the majority of the project is located.
- BOX 4. **Congressional District:** The numeric Congressional District consistent with FMIS. Multiple districts should be separated by a comma. States with one Congressional District should enter "0" (zero).

BOX 5. **Federal-aid project number:** The State assigned federal-aid project number, consistent with the seven digit format reported in FMIS. For example, the project STM-1222(12) should be reported as “1222012.” Enter “TBD” if no federal-aid project number has been assigned yet. **Do not enter multiple federal-aid project numbers; this field can only contain one project number.**

BOX 6. **State project number or identification number:** The State project number or ID as assigned by the State or its funding recipient, consistent with the format reported in FMIS. Federal Lands will use this column to assign the “State” where the project is located. **Do not enter multiple State project numbers or identification numbers; this field can only contain one project number.**

BOX 7. **Project Type:** A character code used to denote the type of project. Projects are divided into individual projects and area wide projects. Individual projects are defined as a project primarily on a single highway. Area wide projects can be disbursed over a large geographic area, often on multiple highways.

Code	Description
I	Individual project
D	District wide project
S	State wide project
O	Other area wide project

BOX 8. **Project name:** Consistent with the project name in the STIP and/or FMIS.

BOX 9. **Project description:** Consistent with project description provided in the 1511 Certification. To the extent possible, should include a quantifiable description of the work, especially for area wide projects, e.g. replace 50,000’ of guardrail throughout District 1.

BOX 10. **Project purpose:** Enter the predominant two digit numeric improvement type code consistent with FMIS. For example “New Construction Roadway” should be coded as “01.” The complete list of FMIS improvement type codes and descriptions are provided in Appendix C.

BOX 11. **Total Amount of R.A. Funds:** The estimated total amount of Recovery Act (R.A.) funds to be expended on the project. **Enter the cost as whole dollars; do not provide costs in thousands or millions of dollars.**

BOX 12. **Estimated Total Project Cost:** The estimated total cost of all Recovery Act and non-Recovery Act funds consistent with the STIP and/or FMIS. **Enter the cost as whole dollars; do not provide costs in thousands or millions of dollars.**

BOX 13. **Project Schedule. :** Estimated project or construction completion date reported as *mm/yyyy* (e.g. “May 2009” would be coded as “05/2009”).

BOX 14. **Project rationale:** The recipient’s rationale for funding the infrastructure investment with funds made available under this Act. Explain how the infrastructure investment will contribute to one or more of the purposes using the following codes:

Code	Description
1	To preserve and create jobs and promote economic recovery
2	To assist those most impacted by the recession
3	To provide investments needed to increase economic efficiency by spurring technological advances in science and health
4	To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits
5	To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases

BOX 15. **Economically Distressed Area:** A yes/no check-box to indicate if the project is in an economically distressed area. Enter either “yes” or “no.”

BOX 16. **NEPA Class of Action:** Enter one of the following codes:

Code	Description
TBD	To be determined
CE	All types of categorical exclusions
EA	Environmental assessment or finding of no significant impact
EIS	Environmental impact statement
NA	Not applicable

BOX 17. **NEPA Milestone Completed:** Enter one of the following codes:

Code	Description
TBD	To be determined
CE	All types of categorical exclusions
EA	Environmental assessment
FONSI	Finding of no significant impact
DEIS	Draft environmental impact statement

FEIS	Final environmental impact statement
SEIS	Supplemental environmental impact statement
ROD	Record of decision
NA	Not applicable

BOX 18. **FHWA NEPA completion date:** Enter date that FHWA approved the NEPA document indicated in Box 16. Enter the date as *mm/dd/yyyy* (e.g. “May 1, 2009” would be coded as “05/01/2009”).

BOX 19. **Number of federal permits/approvals requiring NEPA decisions or NEPA document adoptions by other agencies:** Such as 404 Permits, Coast Guard Bridge Permits or federal land transfers.

BOX 20. **Status of federal environmental permits or approvals:** A character code used to denote the status of any outstanding federal permits or approvals, including those which are not reported in box 19 because they do not require a NEPA decision, such as ESA section 7 and Section 106 of the NHPA. If multiple permits or approvals are outstanding, choose the code that best describes the permit that is expected to be the last one approved.

Code	Description
TBD	To be determined
NOT	Not Applied
PEND	Applied/Pending
COMP	Completed
NA	Not applicable

BOX 21. **Federal agency NEPA completion date:** Enter the date of the last federal 404 permit, Coast Guard permit, Federal Land transfer, etc is completed. If no other federal agency NEPA actions are required, enter the date that the FHWA NEPA action was completed consistent with Box 18. Enter the date as *mm/dd/yyyy* (e.g. “May 1, 2009” would be coded as “05/01/2009”).

BOX 22. **Route number:** Where applicable, the numeric identifier for the route on which the project is located. In some States this can be a mixed field consisting of both letters and numbers. Should be consistent with the route number provided for other federal reports, such as the Highway Performance Monitoring System (HPMS) or the National Bridge Inventory (NBI). Consistent with route number in STIP and/or FMIS. Do not enter multiple route numbers. If the project covers multiple routes, either choose the predominate route, or leave this field blank.

- BOX 23. **Beginning mile point:** Where applicable, the beginning mile point for the project. For projects covering multiple routes, either choose the beginning reference post for the predominant route, or leave this field blank.
- BOX 24. **Ending mile point:** Where applicable, the ending mile point for the project. For projects covering multiple routes, either choose the ending reference post for the predominant route, or leave this field blank.
- BOX 25. **Length (mile):** the centerline length of the project. If the project covers multiple routes, enter the total mileage.