

Initial ARRA Project Plan (Form: FHWA-1586)

This section covers the by-project information to be provided by each State which accounts for how it plans to invest its allotment of ARRA funding. The list needs to be consistent with the list of projects provided in the State's Section 1511 certification, as it may be amended. States should provide their best estimates of complete list of projects to be funded with ARRA grants as of the plan's due date. If a State has not programmed all ARRA funds by that time, that information should be provided as well. The project list should be provided in an electronic format that allows for data sorting. For the purpose of this initial project plan report, the State may provide the Section 1511 list of projects if that list of projects provides all data are listed below. Simply referencing the STIP will likely not be sufficient, since most do not contain all of the required data. These data will be used for meeting the reporting requirements of Sections 1201, and 1512. The data are also used to link together State DOT and FHWA provided ARRA project data.

Format: The file can be provided as either a geospatial information system (GIS) shapefile, or an MS Excel spreadsheet.

Due date: By March 31, 2009. The State shall provide an additional list of projects within 2 weeks of the State issuing a new Section 1511 certification until September 2012.

Due to: The States will e-mail their list of projects to: ARRA.Submittal@dot.gov, with a copy sent to the FHWA Division Office official mailbox (state.fhwa@dot.gov). Questions should be directed to ARRA.Questions@dot.gov. Submittal of data to this e-mail account will not qualify as the required submittal under section 1511.

Coding Instructions

- BOX 1. **State:** The 2 digit State [FIPS code](#) for the project. For Federal Lands box 1 will contain the FLH Division or Federal Land Management Agency (FLMA) region.
- BOX 2. **Report date:** The date the list of projects is submitted, reported as *mm/dd/yyyy* (e.g. "May 1, 2009" would be coded as "05/01/2009").
- BOX 3. **County:** [County FIPS Code](#)
- BOX 4. **Congressional District:** The numeric Congressional District consistent with FMIS. Multiple districts should be separated by a comma.
- BOX 5. **Federal-aid project number:** The State assigned federal-aid project number, consistent with the format reported in FMIS. Leave blank if no federal-aid project number has been assigned yet.
- BOX 6. **State project number or identification number:** The State project number or ID as assigned by the State or its funding recipient, consistent with the format reported in FMIS. Federal Lands will use this column to assign the "State" where the project is located.
- BOX 7. **Project Type:** A code field, enter either "I", "D", "S", or "O" for individual (I), district wide (D), state wide (S), and other (O) projects. If project type is "D",

- “S”, or “O” please refer to the grouped project report for additional data requirements.
- BOX 8. **Project name:** Consistent with the project name in the STIP and/or FMIS.
- BOX 9. **Project description:** Consistent with STIP description and/or project description in FMIS. To the extent possible, should include a quantifiable description of the work, especially for area wide projects, e.g. replace 50,000’ of guardrail throughout District 1.
- BOX 10. **Project purpose:** A single sentence describing the type of project consistent with the improvement type code in FMIS, i.e. “4R-Maintenance Resurfacing” or “Bridge Replacement-No Added Capacity.”
- BOX 11. **Total Cost Estimate \$:** The estimated total cost consistent with the STIP and/or FMIS.
- BOX 12. **Project Schedule. :** Estimated project or construction completion date. reported as *mm/yyyy* (e.g. “May 2009” would be coded as “05/2009”).
- BOX 13. **Project rationale:** The recipient’s rationale for funding the infrastructure investment with funds made available under this Act. Explain how the infrastructure investment will contribute to one or more of the purposes using the following codes:
1. To preserve and create jobs and promote economic recovery.
 2. To assist those most impacted by the recession.
 3. To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
 4. To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
 5. To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.
- BOX 14. **Economically Distressed Area:** A yes/no check-box to indicate if the project is in an economically distressed area.
- BOX 15. **NEPA Class of Action:** NEPA Classification to be determined (TBD), Categorical Exclusion under 23CFR771.117 (c) (CE-c), Categorical Exclusion under 23CFR771.117 (d) (CE-d), Environmental Assessment/Finding of No Significant Impact (EA/FONSI), or Environmental Impact Statement (EIS).
- BOX 16. **NEPA Milestone Completed:** Notice of Intent, Public Involvement, Categorical Exclusion (CE) Approval, Environmental Assessment (EA), Finding of No Significant Impact (FONSI), Draft Environmental Impact Statement (DEIS), Final Environmental Impact Statement (FEIS), Supplemental Environmental Impact Statement (SEIS), or Record of Decision (ROD).
- BOX 17. **NEPA milestone completion date:** Enter date, in the form of *mm/dd/yyyy*. (e.g. “May 1, 2009” would be coded as “05/01/2009”).
- BOX 18. **Types of federal permits/approvals requiring NEPA decisions by other agencies:** Such as Section 404 Permits, Coast Guard Bridge Permits, or

- federal land transfers – NA, TBD, Not Applied, Applied/Pending, or Completed.
- BOX 19. **Number of federal permits/approvals requiring NEPA decisions or NEPA document adoptions by other agencies:** Such as 404 Permits, Coast Guard Bridge Permits or federal land transfers.
- BOX 20. **Status of federal environmental permits or approvals:** not applicable (NA), to be determined (TBD), Not Applied, Applied/Pending, or Completed.
- BOX 21. **Route number:** Where applicable, the numeric identifier for the route on which the project is located. In some States this can be a mixed field consisting of both letters and numbers. Should be consistent with the route number provided for other federal reports, such as the Highway Performance Monitoring System (HPMS) or the National Bridge Inventory (NBI). Consistent with route number in STIP and/or FMIS.
- BOX 22. **Beginning mile point:** Where applicable, the beginning mile point for the project.
- BOX 23. **Ending mile point:** Where applicable, the ending mile point for the project.
- BOX 24. **Length (mile):** the centerline length of the project.